



ASA-1157

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

K. SHIGA et al

Serial No. 10/664,891

Filed: September 19, 2003

For: STORAGE NETWORK MANAGEMENT SYSTEM AND METHOD

REQUEST FOR REFUND

Mail Stop 16  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request a refund in the above-identified application due to an error on the part of the Patent Office.

On October 31, 2003, applicants filed an Information Disclosure Statement. The Information Disclosure Statement was filed within three months of the application's filing date of September 19, 2003.

However, the applicants' representative's Monthly Statement of Account for November 2003 (copy enclosed) indicates that an Information Disclosure Statement fee was charged to the deposit account on November 10, 2003, even though there should have been no charge for filing the Information Disclosure Statement. A copy of the mailroom date-

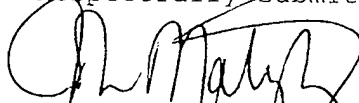
Ser. No. 10/664,891

ASA-1157

stamped receipt is enclosed as evidence of timely receipt by  
the Patent Office.

Please credit a refund in the amount of \$180.00 to the  
Deposit Account No. 50-1417.

Respectfully submitted,



John R. Mattingly  
Registration No. 30,293  
Attorney for Applicants

MATTINGLY, STANGER & MALUR  
1800 Diagonal Rd., Suite 370  
Alexandria, Virginia 22314  
(703) 684-1120  
Date: January 15, 2004



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



DATE

TIME

## MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

MATTINGLY STANGER & MALUR, P.C.

FINA

1800 DIAGONAL ROAD, SUITE 370

ALEXANDRIA VA 22314

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

Account No.	501417
Date	11-28-03
Page	1

PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
11	4	03	5	09842014	KY-159	1501	50.00	10329.00
11	6	03	1	10314300	ASA-820-03	1806	180.00	10149.00
11	6	03	19	10200508	ASA-846-02	1251	-110.00	10259.00
11	7	03	471	09968795	HIT2887.03	1501	1330.00	8929.00
11	7	03	472	09968795	HIT2887.03	1504	300.00	8629.00
11	10	03	1	10183513	H-821-02	1251	110.00	8519.00
11	10	03	1	10664891	ASA-1157	1806	180.00	8339.00
11	10	03	1	10319501	ASA-394-06	1251	110.00	8229.00
11	10	03	17	10216843	NIT-273-02	1201	-84.00	8313.00
11	10	03	18	10216843	NIT-273-02	1202	-90.00	8403.00
11	12	03	1	10183513	H-821-02	1251	110.00	8293.00
11	13	03	93	09961250	H-1010	1801	20.00	8273.00
11	14	03	14	10636528	KY-192	1203	280.00	7993.00
11	14	03	45	10636528	KY-192	1202	36.00	7957.00
11	18	03	6	10636528	KY-192	1202	-36.00	7993.00
11	18	03	7	10636528	KY-192	1203	-280.00	8273.00
11	24	03	2	09604918	ASA-891	1201	156.00	8117.00
<div style="border: 1px solid black; padding: 10px; text-align: center; margin: 20px auto; width: 200px;"> JAN 7 2003 MATTINGLY, S. </div>								
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					10379.00	2862.00	600.00	8117.00



ASA-1157

Mattingly, Stanger & Malur, P.C.  
1800 Diagonal Road, Suite 370  
Alexandria, Virginia 22314  
(703) 684-1120

In re Patent Application of

K. SHIGA et al

Serial No. 10/664,891

Filed: September 19, 2003



For: STORAGE NETWORK MANAGEMENT SYSTEM AND METHOD

Papers Filed Herewith:

Information Disclosure Statement, PTO-1449 Form, and  
copies of documents cited.

Receipt is hereby acknowledged of the papers filed, as  
identified in connection with the above-identified patent  
application.

COMMISSIONER OF PATENTS AND TRADEMARKS



ASA-1157

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

K. SHIGA et al

Serial No. 10/664,891

Filed: September 19, 2003

For: STORAGE NETWORK MANAGEMENT SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT (IDS)  
UNDER § 1.97 AND § 1.98

Commissioner for Patents  
Mail Stop DD  
Alexandria, VA 22313-1450

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is

11/10/2003 SSANDARA 00000001 501417 10664891

01 FC:1806 180.00 DA

Adjustment date: 01/28/2004 SDIRETA1  
11/10/2003 SSANDARA 00000001 501417 10664891  
01 FC:1806 180.00 CR

a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417. A duplicate of this sheet is attached.

5. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.

The PTO did not receive the following  
listed item(s) Credit Card form  
Payment for \$180.00